

Message Text

UNCLASSIFIED

PAGE 01 OECD P 03415 071828Z

66

ACTION EUR-25

INFO OCT-01 ISO-00 AID-20 CEA-02 CIAE-00 COME-00 EB-11

EA-11 FRB-02 INR-10 IO-14 NEA-11 NSAE-00 RSC-01

OPIC-12 SPC-03 TRSE-00 CIEP-02 OMB-01 SEC-03 DRC-01

/130 W

----- 094107

R 071736Z FEB 74

FM USMISSION OECD PARIS

TOSECSTATE WASHDC 1784

UNCLAS OECD PARIS 3415

E.O. 11652: N/A

TAGS: EFIN, OECD

SUBJECT: COMMITTEE ON FINANCIAL MARKETS WP2 MEETING

REF: (A) DAF/BV/73.13 (B) DAF/BV/73.12

1. CFM WP2 ON ADMISSION OF SECURITIES TO STOCK EXCHANGES MET IN DRAFTING SESSION JAN 28-FEB 1. WP ELECTED U.K. EXPERTS (VANNACK AND WAREHAM) AS CHAIRMEN THIS SESSION IN ABSENCE OF REGULAR CHAIRMAN (FREEDMAN-US.S.).

2. WP REVIEWED, REVISED, AND THEN ACCEPTED CERTAIN RULES PREVIOUSLY DRAFTED (REF B), AND CONTINUED WORK ON MINIMUM DISCLOSURE RULES AND DEFINITIONS. ADDITIONAL RULES WERE DRAFTED PERTAINING TO INFORMATION

CONCERNING COMPANIES' ACTIVITIES AND ADMISSION, MANAGEMENT AND SUPERVISION OF COMPANIES. THERE WAS EXTENSIVE DISCUSSION ABOUT DEFINITIONS, PARTICULARLY WITH RESPECT TO PERSON CONTROLLING A COMPANY. SWISS DEL OBJECTED TO DISCLOSURE REQUIREMENTS FOR PRINCIPLE HOLDERS OF SECURITIES AND ABOUT CONTROLLING PERSONS. THERE ALSO WAS EXTENSIVE DISCUSSION ON BREAKDOWN, BY PRINCIPLE BRANCH OR ACTIVITY, OF NET AMOUNT OF TURNOVER AND PROFITABILITY BEFORE TAXES.

3. NEXT SESSION WILL BE HELD STARTING 2:30 PM ON APRIL 1 CONTINUING
UNCLASSIFIED

UNCLASSIFIED

PAGE 02 OECD P 03415 071828Z

THROUGH APRIL 5, 1974. BROWN

UNCLASSIFIED

NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01 JAN 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: FINANCIAL MARKETS, COMMITTEE MEETINGS
Control Number: n/a
Copy: SINGLE
Draft Date: 07 FEB 1974
Decaption Date: 01 JAN 1960
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Authority: n/a
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 JAN 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1974OECDP03415
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Film Number: n/a
From: OECD PARIS
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1974/newtext/t19740249/aaaabtre.tel
Line Count: 61
Locator: TEXT ON-LINE
Office: ACTION EUR
Original Classification: UNCLASSIFIED
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 2
Previous Channel Indicators:
Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: (A) DAF/BV/73.13 (B) DAF/BV/73.12
Review Action: RELEASED, APPROVED
Review Authority: WorrelSW
Review Comment: n/a
Review Content Flags:
Review Date: 06 MAR 2002
Review Event:
Review Exemptions: n/a
Review History: RELEASED <06 MAR 2002 by elbezefj>; APPROVED <26 NOV 2002 by WorrelSW>
Review Markings:

Declassified/Released
US Department of State
EO Systematic Review
30 JUN 2005

Review Media Identifier:
Review Referrals: n/a
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
Secure: OPEN
Status: NATIVE
Subject: COMMITTEE ON FINANCIAL MARKETS WP2 MEETING
TAGS: EFIN, OECD
To: n/a
Type: TE
Markings: Declassified/Released US Department of State EO Systematic Review 30 JUN 2005